

Clinical Trial Readiness

Joel S Perlmutter

Elliot Stein Family Professor

**Neurology, Radiology, Neuroscience,
Physical Therapy & Occupational Therapy
Washington University in St Louis**

Financial Disclosures:

Salary & Grant Support: NIH (NINDS/NCATS), DMRF, Washington U., American Parkinson Disease Association (APDA), Greater St. Louis Chapter of the APDA, McDonnell Center for Higher Brain Function, Barnes-Jewish Hospital Foundation, Huntington Disease Society of America, CHDI, Fixel Foundation, Oertli Fund, MJ Fox Foundation, Murphy Fund, U Michigan, U Toronto, Paula C & Rodger Riney Parkinson Disease Fund

Honoraria: U Rochester; American Academy of Neurology, Emory U, St Louis U, CHDI, Stanford U, U Florida, Huntington Study Group, U Penn, Beth Israel (Harvard U), Huntington STUDY Group, Parkinson Study Group, U Illinois in Chicago (biscotti), Boston U

Speaker's Bureau: none

Equity & Consulting Agreements: none

Clinical Trial Readiness

Diagnostic criteria -DC

Metrics of severity - DC

natural history

response to current therapies

Biomarkers - DC

Clinical Trial Endpoints - DC

Therapeutic interventions

Diagnostic Criteria

Homogenous cohorts

is it dystonia? - DC

dystonia subtypes? - DC

Generalizable results - DC

Diagnostic Criteria

Laryngeal dystonia – DC

Blepharospasm - DC

Cervical Dystonia – DC (subtypes)

Limb dystonia

Task-specific dystonia

musicians' dystonia

Laryngeal Dystonia: Diagnosis-DC

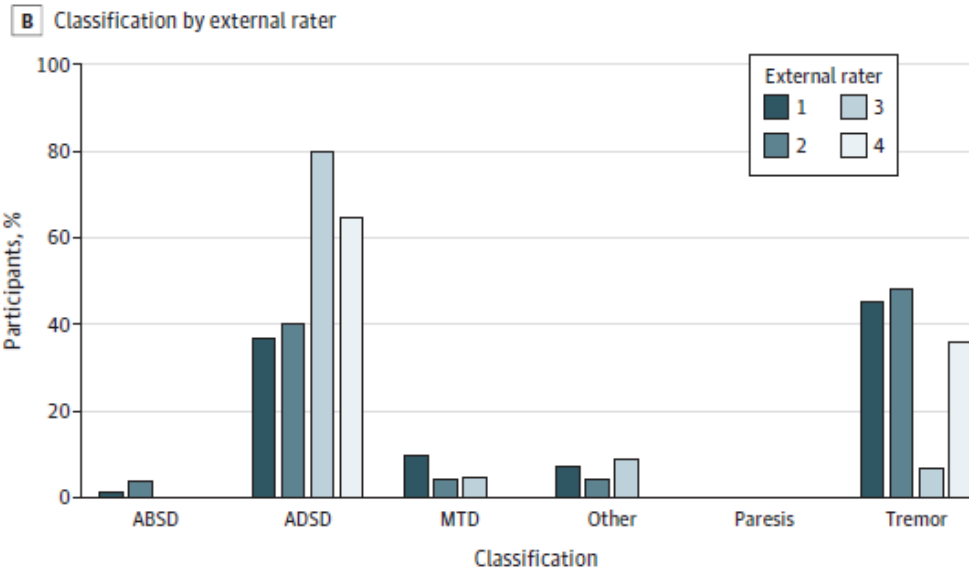
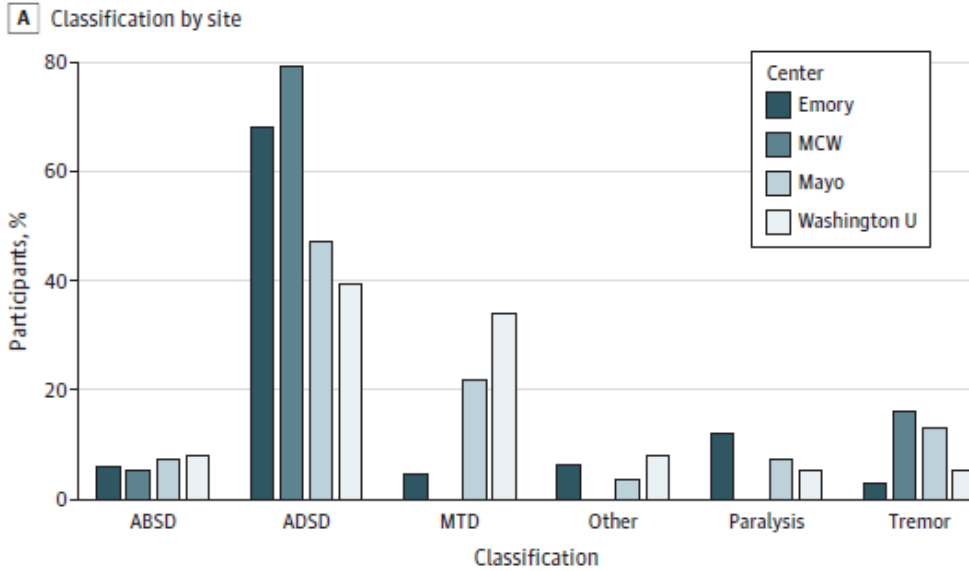
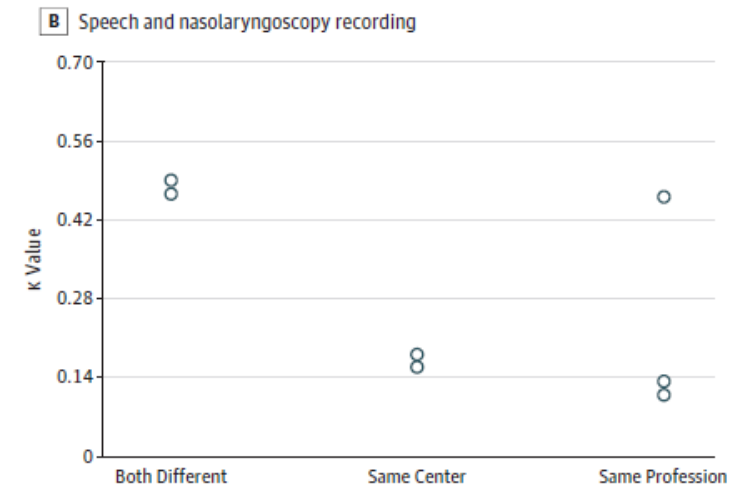
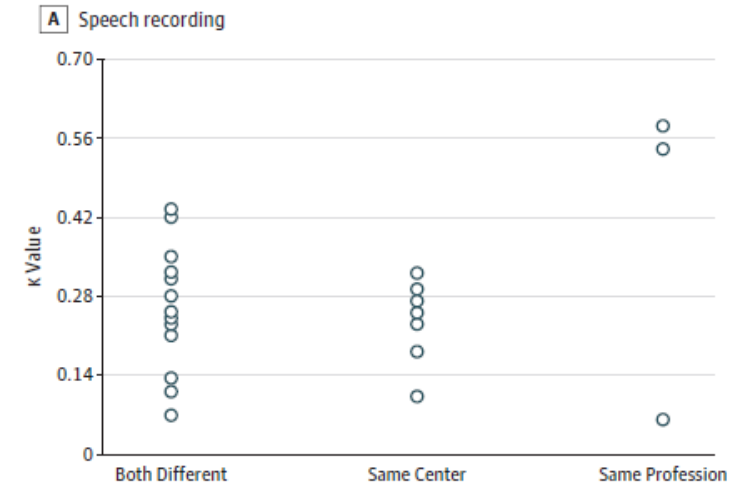
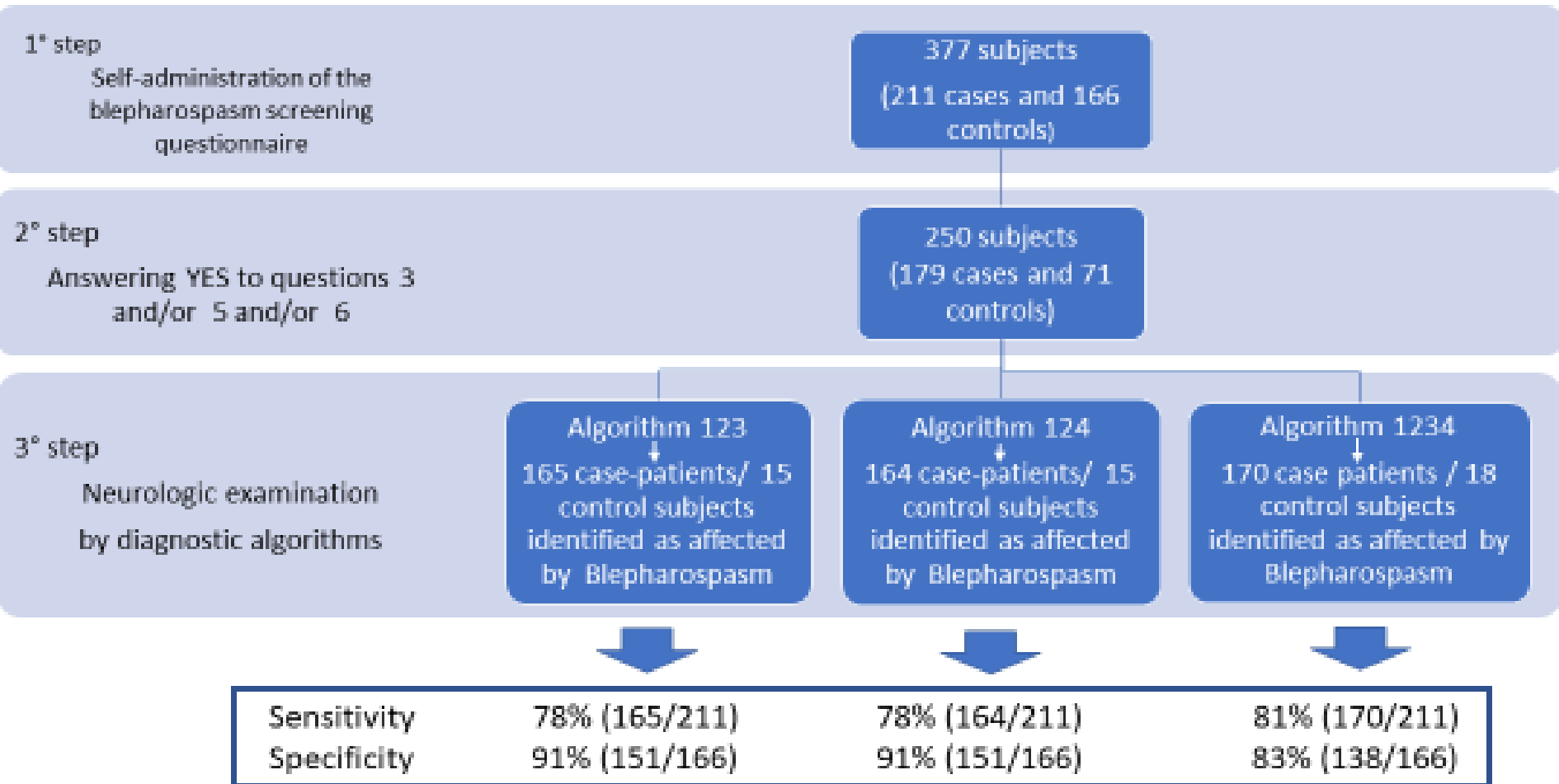


Figure 2. Interrater Agreement After Viewing Speech Recording and Speech and Nasalaryngoscopy Recordings



Blepharospasm: DC



Metrics of Severity (severity vs spread)

General dystonia ratings

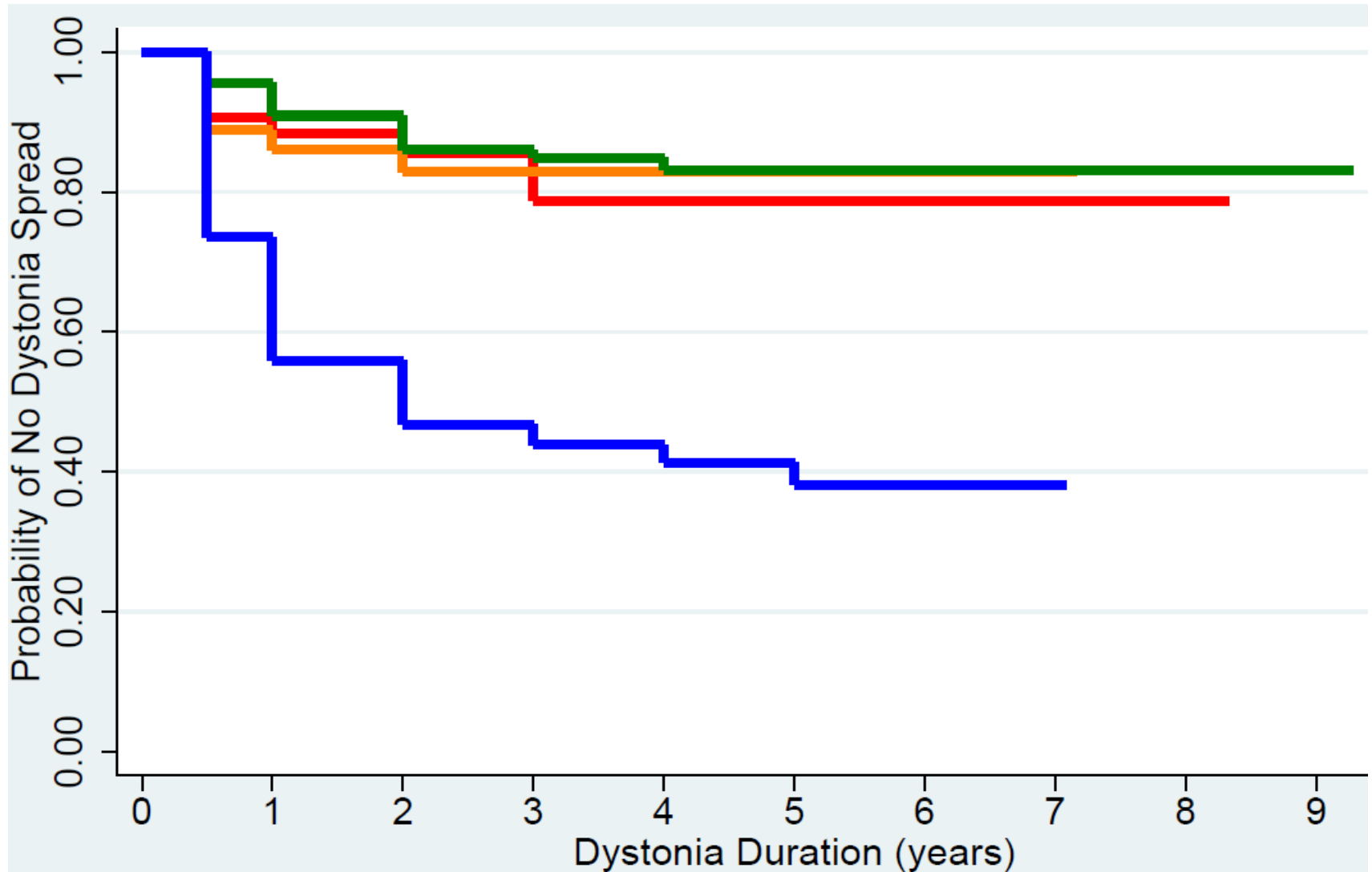
Specific rating scales

Cervical Dystonia

Blepharospasm

Laryngeal dystonia

Natural History: Spread - DC



Berman B et al, JNNP 2020

Factors related to progression-DC

Table 3. Influence of BoNT on HR-QoL within 1 yr

	Group	Time	Group*Time
General Health (n=72)	p = .18	p = .73	p = .610
Physical Functioning (n=72)	p = .51	p = .27	p = .58
Physical Role Functioning (n=72)	p = .93	p = .01	p = .54
Pain (n=71)	p = .98	p = .56	p = .17
Energy/ Fatigue (n=72)	p = .60	p = .01	p = .46
Emotional Well-being (n=72)	p = .004	p = .02	p = .54
Emotional Role Functioning (n=71)	p = .30	p = .07	p = .79
Social Functioning (n=72)	p = .03	p = .02	p = .68

Metrics of Severity: Effects of Interventions

**Transient: Chemodenervation
yo-yo**

**Long term: Surgical
but still time dependent**

A Biomarker

Objectively measured indication of normal biologic process, pathogenic process or drug response

Fleming et al, Ann Intern Med, 1996

Biomarkers

Diagnostic - DC

Metric of Severity - DC

Prediction of progression - DC

**Endpoints of a clinical study
surrogate endpoints**

What is a Primary Endpoint of a clinical study?

Clinically important event:

- death, stroke or MI
- disability, quality of life

Categorical (e.g. need assistive walking device)

Continuous (e.g. time to walk a specified distance)

Power of a Clinical Trial Depends on:

- **Clinically relevant effect of intervention on the Primary Clinical Endpoint**
 - **Sample size**
 - **Variance of measurement**
 - **Duration of Study**
 - **Effect Size**

Surrogate Endpoint

A biomarker that can substitute for a clinically meaningful endpoint

Validation of a Biomarker as a Surrogate Endpoint

- **Biomarker & clinical outcome:
Strong, consistent & independent
Biomarker response must be strong enough to predict**
- **Biomarker predicts efficacy & toxicity**

Therapeutic Candidates

Rationale

Safety

Efficacy

Dose

Target Engagement

Phase 1, 2, 3 trials

Acknowledgments



The Dystonia Coalition: A Multicenter Network for Clinical and Translational Studies

Gamze Kilic-Berkmen¹, Laura J. Wright², Joel S. Perlmutter³, Cynthia Comella⁴, Mark Hallett⁵, Jan Teller⁶, Sarah Pirio Richardson⁷, David A. Peterson⁸, Carlos Cruchaga⁹, Codrin Lungu¹⁰ and H. A. Jinnah^{1,11}*

NIH (NINDS, NCATS), PAGES (DMRF, NSDA, etc)

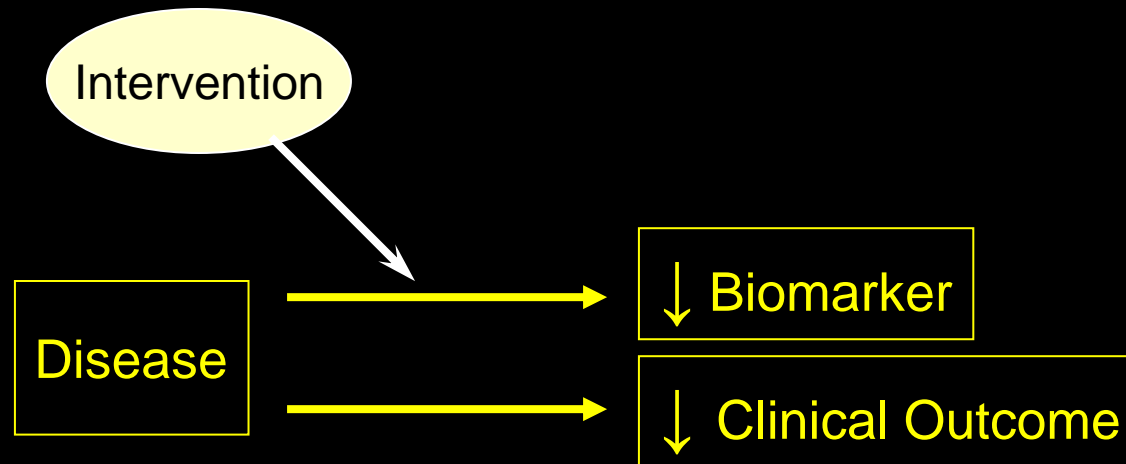
The Important Issue of the Primary Endpoint

- The Primary Clinical Endpoint should be chosen for its clinical importance to patients, **NOT** to minimize the sample size or duration of the study

Why use a surrogate endpoint?

- If treatment effect is greater or measurement variability is less then sample size or study duration decreases

Failures of Biomarkers as Surrogate Endpoints



The biomarker is not in the causal pathway of the disease process that affects outcome

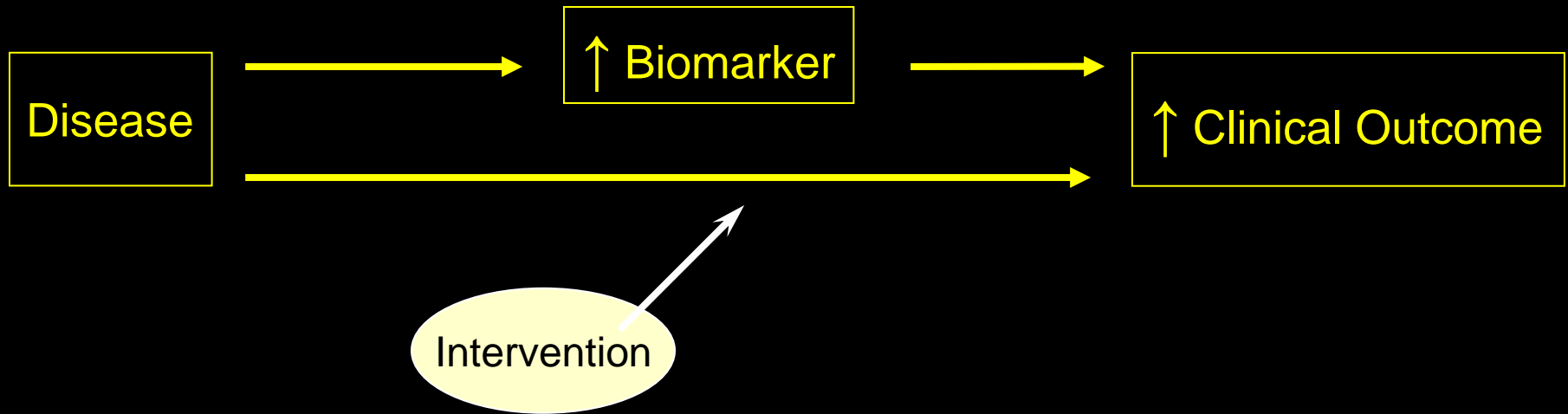
Failure of a Biomarker as Surrogate Endpoint

Efficacy of encainide after myocardial infarction

(CAPS Am J Cardiol 1988; 61:501-9; CAST NEJM 1991; 324:781-8)

	Encainide	Placebo
VPC Suppression	79%	37%
Mortality	7%	3%

Failure of biomarkers as Surrogate Endpoints



The biomarker is not in the pathway of the intervention effect on the disease process

Failure of biomarkers as Surrogate Endpoints

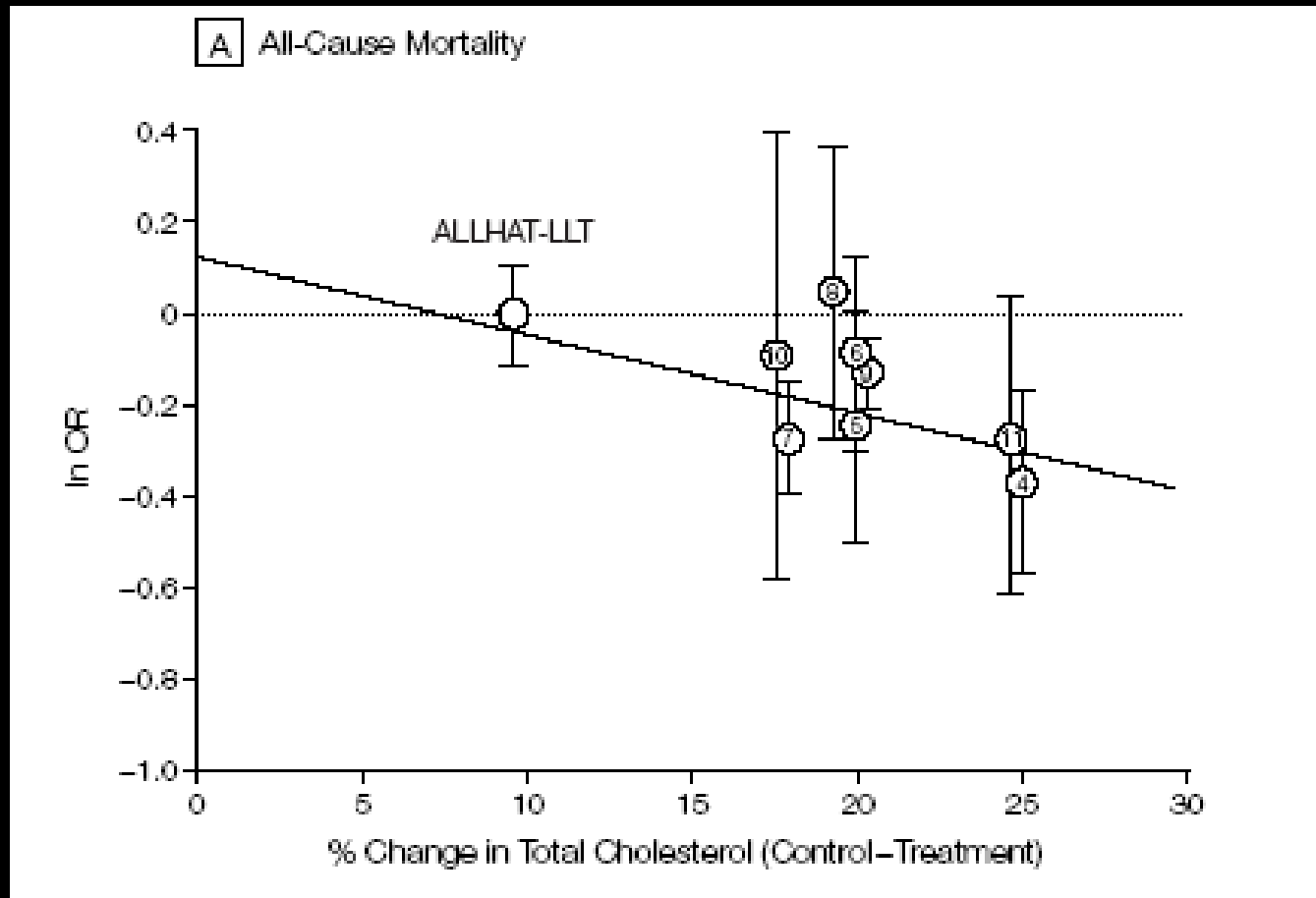
The Antihypertensive and Lipid-Lowering Treatment to Prevent Heart Attack Trial (ALLHAT-LLT)

JAMA 2002;288:2998-3007

	<u>Treatment Group</u>		
	Pravastatin 40 mg/day	Usual Care	p value
Cholesterol	177.6 \pm 33.8	195.5 \pm 37.3	< .0005
All Deaths	631	641	.88

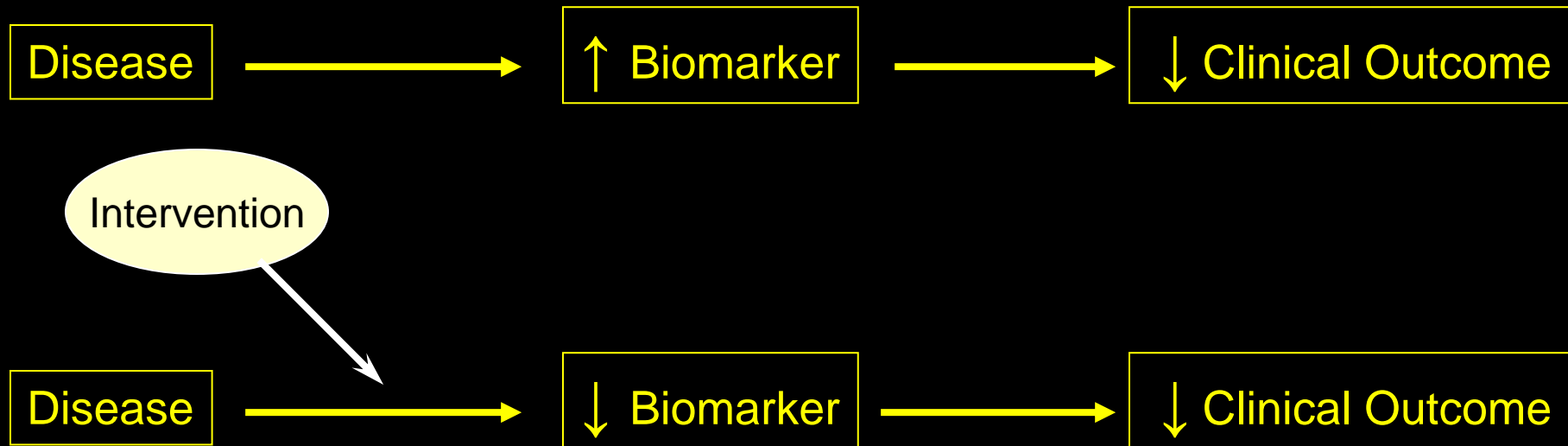
Reductions in Mortality vs Total Cholesterol Difference

JAMA 2002;288:2998-3007.



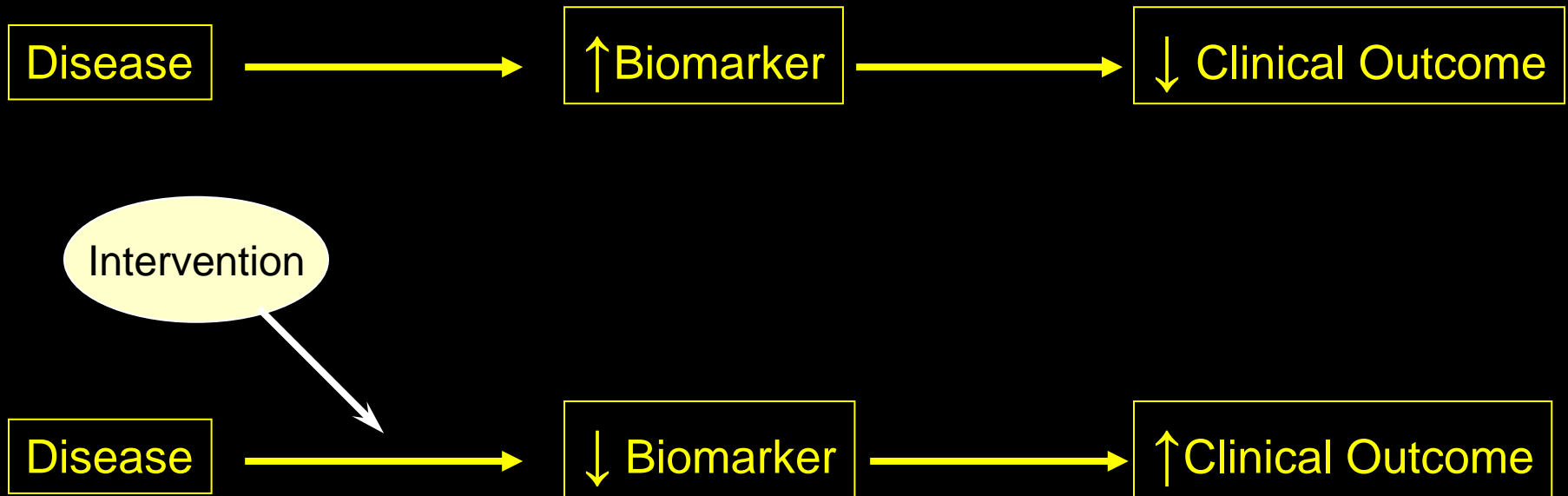
Log odds ratios (ln OR) and 95% confidence intervals for active treatment vs control for 9 large statin trials are compared with regression lines (solid) from meta-analyses of 45 long-term trials using statins and other cholesterol-lowering interventions published before December 31, 2000

Failure of biomarkers as Surrogate Endpoints



A statistically significant change in the biomarker may not be of sufficient magnitude to produce a change in clinical outcome

Optimal situation for a biomarker to be a valid surrogate endpoint



Changes in the biomarker mediate all of the effect of the intervention on clinical outcome

Aspirin v. aspirin + clopidogrel for prevention of stroke

(CARESS Circulation 2005; 111:2233-2240; MATCH Lancet 2004; 364:7331-337)

ASA ASA + CPD

7 days

TCD Cerebral Emboli at 7 days

73%

44%

18 months

Stroke

9%

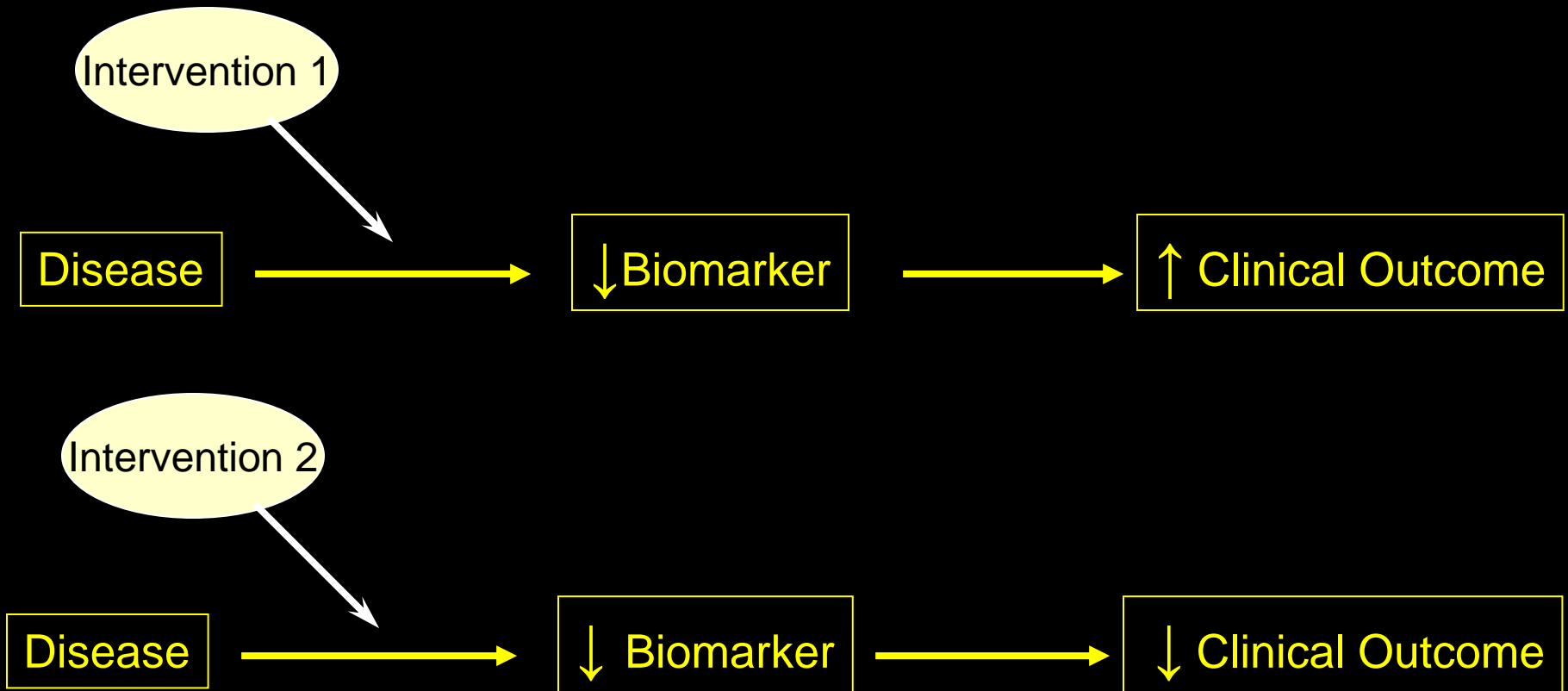
8%

Life threatening Bleeding

1%

3%

Some reasons for failures of Biomarkers as Surrogate Endpoints



A biomarker that is a valid surrogate endpoint for one therapeutic mechanism/drug class may not be valid for a different therapeutic mechanism/drug class

Clinical Trial Endpoints

Clinically meaningful

Surrogate endpoints

Primary Endpoint

- **Primary Clinical Endpoints can be**
 - **Life Events (e.g. need assistive device to walk)**
 - **Quantitative Measurements (e.g. time to walk a specified distance)**

Take Home Message

Biomarker of Efficacy:

reflect action of therapy

reflect relevant pathophysiology

Classification Scheme

Axis 1: clinical features

age of onset

body distribution

temporal pattern

associated features

isolated

combined

Classification Scheme

Axis 2: etiology

CNS pathology

inherited or acquired

inherited

acquired

idiopathic